

## UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/711,388	09/15/2004	Blake Benson	60655.8100

CONFIRMATION NO. 5387

## FORMALITIES LETTER



\*OC000000014164263\*

20322  
 SNELL & WILMER  
 ONE ARIZONA CENTER  
 400 EAST VAN BUREN  
 PHOENIX, AZ 850040001

Date Mailed: 10/22/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Judith Continelli, Sandy Hazeltine*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity


- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

03/02/2005 HGUETM1 00000046 192814 10711388

01 FC:1051 130.00 DA 130.00 DA



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
60655.8100

In Re Application Of: Blake Benson, et al.

FEB 25 2005

Application No.

10/711,388

Filing Date

September 15, 2004

Examiner

TBA

Customer No.

20322

Group Art Unit

2876

Confirmation No.

5387

Invention: **ON-LINE MERCHANT SERVICES SYSTEM AND METHOD FOR FACILITATING RESOLUTION OF  
POST TRANSACTION DISPUTES**

Mail Stop Missing Parts

COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
October 22, 2004

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Petition to Accept Application Due to Inventor Refusing to Join Pursuant to 37 C.F.R. 1.47(a)**  
**Statement Regarding Non-Signing Inventor Judith Continelli**  
**Statement Regarding Non-Signing Inventor Sandy Hazeltine**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

**Docket No.**  
**60655.8100**

In Re Application Of:

**Blake Benson, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/711,388	September 15, 2004	TBA	20322	2876	5387

**Invention: ON-LINE MERCHANT SERVICES SYSTEM AND METHOD FOR FACILITATING RESOLUTION OF POST TRANSACTION DISPUTES**

TO THE COMMISSIONER FOR PATENTS:

## Mail Stop Missing Parts

- ☒ Completion of application fees as calculated below:

- |   |                 |
|---|-----------------|
| <input type="checkbox"/> Utility application basic fee  | _____           |
| <input type="checkbox"/> Design application basic fee   | _____           |
| <input type="checkbox"/> Search Fee   | <b>\$0.00</b>   |
| <input type="checkbox"/> Examination Fee  | <b>\$0.00</b>   |
| <input type="checkbox"/> Total number of independent claims = _____   | _____           |
| <input type="checkbox"/> Total number of claims = _____   | _____           |
| <input type="checkbox"/> Multiple dependent claims  | _____           |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <b>\$130.00</b> |
| <input checked="" type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor    | <b>\$130.00</b> |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | _____           |
| <input type="checkbox"/> Fee for processing and retention of application  | _____           |

**Total completion of application fees \$260.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month    ☒ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: **December 22, 2004**      until: **February 22, 2005**  
Date Date

**Total time extension fees \$450.00**

**Total fees due                      \$710.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
60655.8100

In Re Application Of:

Blake Benson, et al.

FEB 25 2005

Application No.

10/711,388

Filing Date

September 15, 2004

Examiner

TBA

Customer No.

20322

Group Art Unit

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Confirmation No.

5387

Invention: **ON-LINE MERCHANT SERVICES SYSTEM AND METHOD FOR FACILITATING RESOLUTION OF POST TRANSACTION DISPUTES**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of **\$710.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-2814**
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **19-2814**
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

*David O. Caplan*

Signature

David O. Caplan, Reg. No. 41,655  
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dcaplan@swlaw.com

Dated: **February 22, 2005**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

February 22, 2005

(Date)

*Suzie G. Mascari*

Signature of Person Mailing Correspondence

Suzie G. Mascari

Typed or Printed Name of Person Mailing Correspondence

cc: